U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

FUKASAWA 2

U.S. APPLICATION NO. (If known see 37 CFR 1.5)

09/763421

INTERNATIONAL APPLICATION NO. PCT/JP00/04006

INTERNATIONAL FILING DATE PCT/JP00/0400

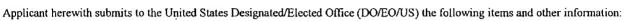
PRIORITY CLAIMED 23 June 1999

TITLE OF INVENTION

LIGHT EMITTING DIODE

APPLICANT(S) FOR DO/EO/US

Koichi FUKASAWA et al.



FEB 2 2 2001

- 1. [X] This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [X] This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 - 4. [] The US has been elected in a Demand by the expiration of 19 months from the priority date (PCT Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [] is attached hereto (required only if not transmitted by the International Bureau).
 - b. [X] has been communicated by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. | | have been communicated by the International Bureau.
 - c. | have not been made, however, the time limit for making such amendments has NOT expired.
 - d. [X] have not been made and will not be made.
- 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- (35 U.S.C. 371(c)(4)). [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- Fig. [] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. | An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A FIRST preliminary amendment.
 - [X] A SECOND or SUBSEQUENT preliminary amendment.
- 14. A substitute specification.
- 15. [] A change of power of attorney and/or address letter.
- 16. [X] Other items or information:
 - [X] Courtesy copy of the first page of the International Publication (WO 00/79605).
 - [X] Formal drawings, 12 sheets, Figures 1-23.
 - [X] Courtesy Copy of the International Search Report.

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U.S. APPLICA tif known, see 37 CFR		International Application No.		Attorney's Docket No.		
09/7634	PCT/S	PCT/JP00/04006			FUKASAWA 2	
17. [xx] The following fees are submitted:				CALCULATIONS PTO USE ONLY		
BASIC NATIONAL FEE (37 CFR 1.492 (a)(1) –(5): Neither international preliminary examination fee (37 CFR 1.482)						
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO						
and International Search Report not prepared by the EPO or JPO\$1000.00				į		
V 400 OFF 1 400						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860.00						
OSI TO out international Seatch Report prepared by the EPO of 3PO						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but						
international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$710.00						
International praliminary evamination						
International preliminary examination	:					
but all claims did not satisfy provisions of PCT Article 33(1)-(4)						
International preliminary examination fee paid to USPTO (37 CFR 1.482)						
and all claims satisfied provisions of						
MANUEL ADDRODDIATE DAGGERS ANOVEME				f 8(0,00	T	
ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30				\$ 860.00		
months from the earliest claimed prior	tv date (37 CFR)	n rater man [] 20 .492(e))	[] 30	\$		
Chaims as Originally Presented	Number Filed	Number Extra	Rate			
Total Claims	15 - 20		X \$18.00	\$		
Independent Claims	2 - 3		X \$80.00	\$		
Multiple Dependent Claims (if applica	ble)		+\$270.00	\$		
TOTAL OF ABOVE CALCULATIONS =				\$ 860,00		
Claims After Post Filing Prel. Amend	Number Filed	Number Extra	Rate			
Total Claims	25 - 20	05	X \$18.00	\$ 90.00		
Independent Claims	2 - 3		X \$78.00	\$		
TOTAL OF ABOVE CALCULATIONS =				\$ 950.00		
Reduction of ½ for filing by small entity, if applicable. Applicant claims small entity				\$		
status. See 37 CFR 1.27.						
SUBTOTAL =				\$ 950.00		
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		
TOTAL NATIONAL FEE =				\$ 950.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be				\$ 930.00		
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				Ð		
TOTAL FEES ENCLOSED =				\$ 950.00		
				Amount to be:	\$	
				refunded		
	·			charged	\$	
a. [] A check in the amount of \$ to cover the above fees is enclosed.						
b. [] Credit Card Payment Form (PTO-2038), authorizing payment in the amount of \$, is attached.						
c. [X] Please charge my Deposit Account No. 02-4035 in the amount of \$ 950.00 to cover the above fees. A duplicate copy of this sheet is enclosed.						
d. [XX] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment						
to Deposit Account No. 02-4035. A duplicate copy of this sheet is enclosed.						
NOTE WILL						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a polition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
(o)) mass or med and granted to rest	ore the application	on to bending statu	s. (7 L	
SEND ALL CORRESPONDENCE TO:				May L	Dury	
DECOMPLY AND APPROXIMATION OF THE PROPERTY OF			SIGNATURE			
BROWDY AND NEIMARK, P.L.L.C.				Roger L. Browdy		
624 NINTH STREET, N.W., SUITE 300				NAME		
WASHINGTON, D.C. 20001				25,618		
TEL: (202) 628-5197 EAV: (202) 727 3528				REGISTRATION NU	IMBER	
FAX: (202) 737-3528 Date of this submission: February 22, 2001						
Form PTO-1390 (as slightly revised by Browdy ar				Page 2		

EXACT ENGLISH LANGUAGE TRANSLATION OF THE PCT APPLICATION AS ORIGINALLY FILED